



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/687,483 | 10/13/2000 | 1631 | 1620 | 24736-2033 | 49 | 100 | 15 |

24961 **STEPHANIE L. SEIDMAN**
HELLER EHRMAN WHITE & MCAULIFFE LLP
4350 LA JOLLA VILLAGE DRIVE
7TH FLOOR
SAN DIEGO, CA 92122-1246

CONFIRMATION NO. 7859

CORRECTED FILING RECEIPT



OC00000009388719

RECEIVED

JAN 28 2003

Heller Ehrman

Date Mailed: 01/16/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Andreas Braun, San Diego, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/217,658 07/10/2000
and claims benefit of 60/159,176 10/13/1999
and claims benefit of 60/217,251 07/10/2000
and is a CIP of 09/663,968 09/19/2000

Foreign Applications

If Required, Foreign Filing License Granted: 01/31/2001

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

methods

Method for generating databases and databases for identifying polymorphic genetic markers

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Receipt
20

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andreas Braun
Serial No.: 09/687,483
Filed: October 13, 2000
For: **METHODS FOR GENERATING
DATABASES AND DATABASES FOR
IDENTIFYING POLYMORPHIC
GENETIC MARKERS**
Art Unit: 1631
Examiner: L. Clow

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202, on this date:

02/03/03
Date

Kimila Carraway
Kimila Carraway

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Sir:

Attached is a copy of a Corrected Filing Receipt received from the PTO in the above-captioned application for which issuance of a further Corrected Filing Receipt is respectfully requested.

Please change the **ATTORNEY OF RECORD** information to read as follows:

**STEPHANIE L. SEIDMAN
HELLER EHRMAN WHITE & MCAULIFFE LLP
4350 LA JOLLA VILLAGE DRIVE, 7th FLOOR
SAN DIEGO, CALIFORNIA 92122-1246**

U.S.S.N. 09/687,483

Andreas Braun

REQUEST FOR CORRECTED FILING RECEIPT

Further, under **TITLE**, please change the title of ~~invention~~ to read:

— **METHODS FOR GENERATING DATABASES AND DATABASES FOR IDENTIFYING POLYMORPHIC GENETIC MARKERS**

Applicant respectfully request that these errors be corrected and Applicant be provided a new Official Filing Receipt.

- ☒ The Commissioner is hereby authorized to charge any fee, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213.

Respectfully submitted,
HELLER EHRMAN WHITE & McAULIFFE LLP.

By: _____

Stephanie Seidman
Registration No. 33,779

SLS:kcc
Attorney Docket 24736-2033
Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP.
4350 La Jolla Village Drive, 7th Fl.
San Diego, California 92122-1246
Telephone: (858) 450-8400
Facsimile: (858) 587-5360
E-mail: sseidman@HEWM.com



FILE COPY

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 7859

| | | | | | |
|--|---|-------------------------------|---|--|---------------------------------|
| SERIAL NUMBER 09/687,483 | FILING DATE 10/13/2000 RULE | CLASS 435 | GROUP ART UNIT 1631 | ATTORNEY DOCKET NO. 24736-2033 | |
| APPLICANTS Andreas Braun, San Diego, CA; <i>OK UK</i> | | | | | |
| ** CONTINUING DATA ***** This appln claims benefit of 60/217,658 07/10/2000 and claims benefit of 60/159,176 10/13/1999 <i>UK</i> and claims benefit of 60/217,251 07/10/2000 and is a CIP of 09/663,968 09/19/2000 | | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 01/31/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged <u>Examiner's Signature</u> <u>Initials</u> | | STATE OR COUNTRY CA | SHEETS DRAWING 49 | TOTAL CLAIMS 100 | INDEPENDENT CLAIMS 15 |
| ADDRESS STEPHANIE L. SEIDMAN HELLER EHRMAN WHITE & MCAULIFFE LLP 7TH FLOOR 4350 LA JOLLA VILLAGE DRIVE SAN DIEGO ,CA 92122-1246 | | | | | |
| TITLE Methods for generating databases and databases for identifying polymorphic genetic markers | | | | | |
| FILING FEE RECEIVED 1620 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |